

ΞFW 3626

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mark Penny et al.

Examiner: Rachel L. Porter

Serial No.:

09/992,991

Group Art: 3626

T'1. 1 NT 1

Filed: November 19, 2001

For: A System and Method for Processing

Patient Medical Information

INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATION AND PETITION FOR CONSIDERATION UNDER 37 CFR § 1.97

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. These documents were cited in a search report issued in the corresponding PCT application. Copies of the listed documents are also enclosed.

This information is being submitted subsequent to filing and before the mailing of a first office action. Accordingly, pursuant to 37 CFR § 1.97(d), the undersigned submits that no fee is presently due.

Petition under 37 CFR § 1.97(d)

The undersigned hereby petitions that the Examiner consider the information cited

in this Statement. As this petition is filed after filing of the application and before mailing of a

first office action it is respectfully submitted that no fee is due. However, if a fee is due please

charge the fee to Patent and Trademark Office Deposit Account No. 50-2828.

It is respectfully requested that the above information be considered by the

Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such

information has been considered.

I hereby declare that all statements made herein of my own knowledge are true

and that all statements made on information and belief are believed to be true; and further that

these statements were made with the knowledge that willful false statements and the like so

made are punishable by fine or imprisonment, or both, under Section 1001 -f Title 18 of the

United States Code and that such willful false statements may jeopardize the validity of the

application or any patent issued thereon.

Respectfully submitted,

By:

Broadway, Suite 1510

New York, NY 10018

(212) 971-0416

Dated: May 28, 2004

Sheet 1 of 2

LIST OF REFERENCES CITED BY APPLICANT

Atty Docket No.:

2000P09056 US01

Filing Date: November 19, 2001

Serial No.:

09/992,991

Group Art: 3626

Applicant:

Mark Penny et al.

U.S. PATENT DOCUMENTS

*Ex. Intls.		Document No.	Date	Name	Class	Subclass	Filing Date
	AA	5,924,074	7/13/99	Jae A. Evans	705	3	9/27/96
	AB						
	AC						
	AD						
	AE					,	

FOREIGN PATENT DOCUMENTS

*Ex. Intls		Document No.	Date	Country	Name	Class	Sub- class	Translation Yes/No
	AF	EPO 621,544 A2	4/20/94	Europe	Gregory McKeag	15	42	No
	AG	-						
	AH							
	AI							
	Α̈́J							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AK	
	AO	
	AP	
EXAMIN	ER:	DATE CONSIDERED:

* EXAMINER: Initial if reference considered, whether or	r not citation is in conformance with MPEP 609;	Draw line through citation if not in conformance	e and not considered.
Include copy of this form with next communication to ap	plicant.		

Patent Application No. 09/992,991

CERTIFICATE OF MAILING

I hereby certify that this amendment is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioners for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on:

May 28, 2004

Date

Tanesha Canidate

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